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| FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 30436.43USU1 | Application Number: 08/905,293 |
| | Applicant: Dale E. Yelton and Mae Joanne Rosok | |
| | Filing Date: August 1, 1997 | Group Art Unit: <u>1945</u> <u>164</u> |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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| | | | | | | YES | NO |
| <u>2us</u> | EP 0 699 756 A1 | 3/6/96 | EPO (Exhibit 1) | | | | |
| <u>2us</u> | WO 94/29351 | 12/22/94 | PCT (Exhibit 2) | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| <u>2us</u> | | D. Yelton, et al., "Mutant Monoclonal Antibodies With Alterations in Biological Functions," <u>J. Exp. Medicine</u> , Vol. 56, pp. 1131-1148, October 1982 (Exhibit 3) |
| | | Mueller, et al., "Serum Half-Life and Tumor Localization of a Chimeric Antibody Deleted of the C _H 2 Domain and Directed Against the Disialoganglioside GD2," <u>P.N.A.S.</u> , Vol. 87, pp. 5702-5705, August 1990 (Exhibit 4) |
| | | H. Garrigues, et al., "Detection of a Human Melanoma-Associated Antigen, p97, in Histological Sections of Primary Human Melanomas," <u>Int. J. Cancer</u> , Vol. 29, pp. 511-515, 1982 (Exhibit 5) |
| | | Alexander R. Duncan, et al., "The Binding Site for Clq on IgG" <u>Nature</u> , Vol. 332, pp. 738-740, April 1988 (Exhibit 6) |
| | | Mi-Hua Tao, et al., "Structural Features of Human Immunoglobulin G That Determine Isotype-Specific Differences in Complement Activation" <u>J. Exp. Med.</u> , Vol. 178, No. 2, pp. 661-667, August 1993 (Exhibit 7) |
| | | Yuanyuan. Xu, et al., "Residue at Position 331 in the IgG1 and IgG4 Domains Contributes to Their Differential Ability to Bind and Activate Complement" <u>J. Biol. Chem.</u> , Vol. 269, No. 5, pp. 3469-3474, February 1994 (Exhibit 8) |
| | | A. Morgan, et al., "The N-Terminal End of the C _H 2 Domain of Chimeric Human IgG1 Anti-HLA-DR is Necessary for Clq, FcγRI and FcγRIII Binding" <u>Immunology</u> , Vol. 86, pp. 319-324, 1995 (Exhibit 9) |
| | | Mae Joanne Rosok, et al. "A Combinatorial Library Strategy for the Rapid Humanization of Anticarcinoma BR96 Fab," <u>J. Biol. Chem.</u> , Vol. 271, No. 37, pp. 22611-22618, September 1996 (Exhibit 10) |
| <u>2us</u> | | Mi-Hua Tao, et al., "The Differential Ability of Human IgG1 and IgG4 to Activate Complement is Determined by the COOH-Terminal Sequence of the C _H 2 Domain," <u>J. Exp. Med.</u> , Vol. 173, pp. 1025-1028, April 1991 (Exhibit 11) |

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| EXAMINER <u>2us</u> | DATE CONSIDERED <u>01 Feb. 99</u> |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. | |

Date Mailed: January 29, 1998

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| <i>2us</i> | I. Hellström, et al., "Strong Antitumor Activities of IgG3 Antibodies to a Human Melanoma-Associated Ganglioside," P.N.A.S., Vol. 82, pp. 1499-1502, March 1985 (Exhibit 12) |
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